

Investigation of the respiratory system

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Pulmonary function tests (PFTs) are useful in determining the functional capacity of the patient and the severity of pulmonary disease, and in predicting the response to various treatments. The tests range from simple clinic or bedside measurements to those only available in specialist centres.

Spirometry is the most commonly performed PFT and measures specifically the amount (volume) and/or speed (flow rate) of air that can be inhaled or exhaled. It is reported in both absolute values and as a predicted percentage of normal. Normal values vary, depending on gender, race, age and height. The most common parameters measured in spirometry are defined below and illustrated in Figure 60.5.

Peak expiratory flow rate Peak expiratory flow rate (PEFR) is measured by a Wright peak flow meter or a peak flow gauge.

This is the maximum airflow velocity achieved during an expiration delivered with maximal force from the total lung capacity. It is a reliable and reproducible test but has the disadvantage of being effort dependent, and it may therefore be affected by abdominal or thoracic wound pain.

PEFR measurements are often used in managing asthma, but there are many other causes of low PEFR such as a problem with large airway patency.

Forced expiratory volume in 1 second The forced expiratory volume in 1 second (FEV₁) is the amount of air forcibly expired in 1 second. It is low in obstructive lung disease and may be normal in patients with poor gas exchange.

Forced vital capacity The forced vital capacity (FVC) is the volume of air forcibly displaced following maximal inspiration to maximal expiration. The FEV₁ and the FVC can be measured using a Vitalograph,

Postoperative Perioperative dyspnoea death Dynamic lung volumes, Thoracoscore transfer factor +/- split function testing Yes Offer surgery as part of multimodality management

(b) and a ratio (FEV₁/FVC) can be calculated (Figure 60.5). A low ratio indicates obstruction and the test should be repeated after bronchodilators. A normal ratio (FVC and FEV₁ reduced to the same extent) indicates a restrictive pathology.

There are two physiological categories of lung disease: obstructive and restrictive (Table 60.1). In obstructive conditions such as asthma or emphysema, the flow of air in and out of the lungs is impaired. In restrictive disease, such as lung fibrosis, the lungs have lost size or elasticity, becoming 'stiff' so that they do not fill or expand properly.

Diffusion capacity The diffusion capacity (DLCO) is a measurement of the lung's ability to transfer gases and is often referred to as the 'transfer factor'. It cannot be performed at the bedside, requires the patient's current haemoglobin level and is a test of the integrity of the lung's alveolar-capillary surface area for gas exchange. In lung diseases that damage the alveolar walls, such as emphysema, or that thicken the alveolar membrane, such as lung fibrosis, it may be reduced. In patients who require surgery to remove part of their lung, for

example for lung cancer, measurement of DLCO is an important determinant of 'fitness' for surgery and it should be measured formally as part of a lung function test.

4 4 3 3 q 2 2 Volume (litres) 1 1 1 0 2 3 4 5 6 0 Normal Obstructive Tidal volume Total lung capacity (TLC) Normal Figure 60.5 Spirometry. (a) Spirogram tracings obtained from a Vitalograph: vital capacity (FVC) 3.8 litres, FEV₁/FVC 82%; (ii) obstructive defect, reversible asthma, FEV₁/FVC 40%; q after a bronchodilator, FEV₁ 2.5 litres, FVC 3.5 litres, FEV₁/FVC 90%. No change with bronchodilators. (b) 1 from Gray HH. Pulmonary embolism. Medicine International 1993; 4 3 2 p 1 1 2 3 4 5 6 1 0 2 3 4 5 6 Time (seconds) Restrictive VC TLC Vital capacity (VC) VC TLC Obstructive Restrictive (i) normal forced expiratory volume in 1 s (FEV₁) 3.1 litres, forced FEV₁ before a bronchodilator, FEV₁ 1.4 litres, FVC 3.5 litres, FEV₁/FVC 71%; (iii) restrictive defect, fibrosing alveolitis, FEV₁ 1.8 litres, FVC 1.1 litres. Changes in lung volume in obstructive and restrictive lung disease. (Reproduced from Gray HH, Pulmonary embolism. Medicine International 1993; 4: 477, by kind permission of the Medicine Group (Journals) Ltd.)

TABLE 60.1 Spirometry values in obstructive and restrictive lung diseases. Obstructive pattern: Normal or FEV₁/FVC <70%. Restrictive pattern: Normal or FEV₁/FVC <70%.

FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; PEFR, peak expiratory flow rate.

Oxygen saturation (S_O₂) refers to the degree of oxygen molecules (O₂) carried in the blood attached to haemoglobin molecules (Hb). It is a measure of how much oxygen the blood is carrying as a percentage of the maximum it could carry. The common method of monitoring the oxygenation of a patient's haemoglobin is through a pulse oximeter. Blood gases The S_O₂ measured non-invasively with a pulse oximeter measures only oxygenation, not ventilation, and provides no information regarding a patient's carbon dioxide or bicarbonate levels, blood pH or base deficit. This requires arterial blood sampling or 'blood gases' (Table 60.2). The FEV₁ and DLCO are often used to predict the risk of postoperative dyspnoea after lung resection. The predicted postoperative values can be calculated by considering the volume of lung, more specifically the number of bronchopulmonary segments, expected to be removed at surgery. For example if five segments of the left upper lobe are to be removed, the postoperative predicted FEV₁ in a patient with a preoperative FEV₁ of 2.5 litres (85% predicted) is $((19 - 5)/19) \times 2.5 = 1.84$ litres and $((19 - 5)/19) \times 85\% = 62.6\%$ predicted. This assumes that all bronchopulmonary segments are functioning (e.g. not collapsed) and contribute equally to lung function. Although an optimum cut-off of postoperative predicted FEV₁ of 40% is widely cited, there are currently limited data to provide guidance on this figure to help predict an acceptable degree of postoperative dyspnoea and quality of life. Patients should still be offered surgical resection if the predicted risk of postoperative dyspnoea is moderate or high, as long as they are aware of and accept the risks of dyspnoea and associated complications. Exercise testing Other functional assessments, including the shuttle walk test, 6-minute walk test, stair climbing coupled with other tests such as oxygen saturations, as well as cardiopulmonary exercise testing (CPET), could be considered for patients at moderate or high risk of postoperative dyspnoea and may help predict surgical outcome after lung resection. In patients with moderate to high risk of postoperative dyspnoea, using a shuttle walk test distance of >400 m and CPET of >15 mL/kg/min are cut-off values for good

function. Ernest Henry Starling, 1866–1927, Professor of Physiology, University College, London, UK. The key to many aspects of practical chest surgery is an understanding of the pleura and of the mechanics of breathing. Management of the essentially healthy pleural space is logical and simple and needs minimal technology. On the other hand, when pleural disease is advanced, for example when there is gross pleural sepsis surrounding a leaking and trapped lung, management is difficult and the patient may require prolonged care with repeated interventions.

The physiology of pleural fluid - The turnover of fluid in the human pleural space is about 1–2 litres in 24 hours, with only 5–10 mL of fluid present at any one time as a film, about 20 μm thick, between the visceral and parietal pleura. The mechanisms and equations given are simplifications but serve to explain the clinical conditions encountered. The fluid is produced from the capillaries of the parietal pleura as a transudate, according to the Starling capillary loop pressures. However, there is a further negative force in the pleura. The elastic content of the lung causes it to recoil and collapse if not held open by the negative pressure in the pleura. This elastic recoil exerts about 4 mmHg of negative pressure and favours accumulation of fluid. The secreting forces add up to about 11 mmHg in health. Pleural fluid is mainly reabsorbed (about 90%) by the visceral pleura, whose capillaries are part of the pulmonary circulation. The principal force in absorption of pleural fluid is oncotic pressure (approximately 25 mmHg) - minus the difference in mean capillary hydrostatic pressure of - the pulmonary capillary (8 mmHg). Thus, the overall absorbing pressure is $25 - 8 = 17$ mmHg, producing a net drying effect ($17 - 11$) of about 6 mmHg (Figure 60.6). Gas in the pleural space There is normally no free gas in the pleural space because - the same physiological mechanism that absorbs air from a - pneumothorax prevents any gas accumulating. The partial pressures (water as saturated vapour pressure) of the gases in venous/end-capillary blood are: P_{O_2} 40 mmHg 5.3 kPa P_{CO_2} 46 mmHg 6.1 kPa P_{N_2} 573 mmHg 76.4 kPa $P_{\text{H}_2\text{O}}$ 47 mmHg 6.3 kPa These partial pressures add up to less than atmospheric pressure (760 mmHg). Free gas is therefore absorbed into the blood and lost to the atmosphere through the lungs, with the gases moving in relation to their solubility (carbon dioxide quickest and nitrogen slowest) and relative concentrations in the pleural space and the blood. This does not favour nitrogen, which constitutes about 80% of atmospheric air. Breathing oxygen accelerates nitrogen removal by reducing the content - of nitrogen in the blood and increasing the gradient for its absorption. Nitrous oxide anaesthesia is dangerous in the presence of a pneumothorax; nitrous oxide is very soluble and, although not normally present in the pleural space, it will be

TABLE 60.2 Arterial blood gases: 'normal values'. pH 7.35–7.45 P_{aCO_2} 4.5–6 kPa (35–50 mmHg) P_{aO_2} 11–14 kPa (83–105 mmHg) P_{aO_2} 2 Standard bicarbonate 22–28 mmol/L Anion gap 10–16 mmol/L Chloride 98–107 mmol/L

(b) rapidly transported into the space if the patient is given nitrous oxide to breathe.

Produced at a rate of: and reabsorbed: 0.6 mL/kg per hour or 1000 mL 80–90% into per day pulmonary capillaries 10–20% (plus protein) into lymphatics Capillary hydrostatic +32 +8 pressure Colloid -25 -5 -25 pressure 4 Elastic recoil Net drying effect 6 mmHg Figure 60.6 (a) Production and absorption of pleural fluid. (b) pleural physiology. (See the text for an explanation of this simplistic physiological model.)

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